

QUESTIONS SUBMITTED TO RPF #18-01

Answers in Italics

1. In order to provide a sec. lending estimate and fee split, we (and other bidders) will require:

List of holdings

Please download most recent Total Holdings report from our website at:
<https://aztreasury.gov/investments/market-value-reports/>

Sample or proposed cash collateral guidelines

The ASTO instructs all cash collateral to be invested by the custodial bank in permitted investments. A proposed fee split for this service would be determined. In the case the custodial bank is unable to provide this service, then all cash collateral must be held in a 2a7 fund.

Collateral posted in the form of cash shall be in an amount equal to at least one hundred percent of the market value of the loaned securities as agreed.

Please see Arizona Revised Statutes for further information.
<https://www.azleg.gov/viewdocument/?docName=https://www.azleg.gov/ars/35/00313.htm>

Please see our Investment Policy Statement of permissible investments.

Acceptable forms of non-cash collateral

Collateral posted in the form of securities shall be in an amount of at least one hundred two percent of the market value of the loaned securities as established from time to time by the board of investment. The loaned securities shall be valued as to market value daily, and, if necessary, the borrower shall post additional collateral, as agreed, to ensure that the required margin is maintained.

Please see Arizona Revised Statutes for further information.
<https://www.azleg.gov/viewdocument/?docName=https://www.azleg.gov/ars/35/00313.htm>

Please see our Investment Policy Statement Section VI for further details of permissible investments.

<https://aztreasury.gov/pdf.php?file=/wp-content/investmentpolicy/Investment%20Policy.pdf>

Other restrictions that may be imposed on the lending program.

Please see our Investment Policy Statement.

2. In the Corporate Actions and Proxy Voting Section – “Question #1) What services do you utilize for corporate actions?” Are you looking to know our sources? ***Yes, we want you to identify the vendors you use and system for corporate actions.***

3. Can you provide a copy of the Investment Policy Statement? ***Investment Policy can be found online here;***

<https://aztreasury.gov/pdf.php?file=/wp-content/investmentpolicy/Investment%20Policy.pdf>